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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Albanese, Bernard J.;
Mindes, Barry M.

Serial No. 09/972,480

Filed: October 5, 2001

For: SYSTEM AND METHOD FOR
PROTECTING POSITIONS IN
VOLATILE MARKETS

Confirmation No. 5199

Art Unit: 3624

Examiner: Kazimi, Hani M.

Attorney Docket: 8085-007-999

MAY 1, 2003 AMENDMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

Mail Stop Non Fee Amendment

Dear Sir:

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GROUP 3600

The enclosed Amendment is in response to the Office Action dated March 11, 2003
for the above identified patent application.

The Commissioner is hereby authorized to charge any required fee(s) to Pennie &
Edmonds LLP Deposit Account No. 16-1150. A copy of this sheet is enclosed for such
purpose.